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*patent attorneys*

**JUL 15 2005**

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DATE: July 15, 2005

To: Examiner: Vanel Frenel  
USPTO Art Unit 3626

Via Fax No.: 1-571-273-8300

From: R. Andrew Patty II

(Page 1 of 15)

Re: 1<sup>st</sup> Supplemental IDS

Our ref. no.: S-0816

Your ref. no.: 10/636,125

Message: Please see attached First Supplemental Information Disclosure Statement for filing in the above referenced application.

Recipient: Please sign and date below and return this page to us by facsimile to acknowledge safe receipt of this transmission. If there are any difficulties please advise. Thank you.

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Appln. No. 10/636,125  
Attmy. Docket No. S-816

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ROBERT B. PHELPS

APPLN. NO.: 10/636,125

FILED: AUGUST 7, 2003

METHOD OF ENHANCING VALUE OF  
PENSION PLAN ASSETS

CONFIRMATION NO.: 1568  
CUSTOMER NO.: 2071

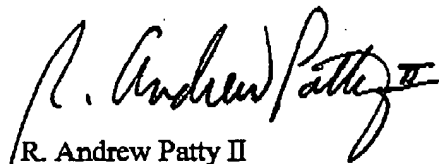
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Alexandria, VA 22313-1450

Sir:

**FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Enclosed herewith for consideration by the Examiner is a First Supplemental Information Disclosure Form PTO/SB/08b together with a copy of each document listed thereon. This paper authorizes the Patent Office to debit Deposit Account No. 502768 in the amount of \$180.00 to cover the fee.

Respectfully Submitted,



R. Andrew Patty II  
Reg. No. 38,992  
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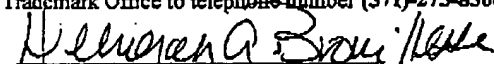
07/19/2005 MBINAS 00000016 502768 10636125  
01 FC:1806 180.00 DA

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7-15-05

Date



Deborah A. Brouillette

PTO/SB/08b(08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	10/638,126
		Filing Date	August 7, 2003
		First Named Inventor	Robert B. Phelps
		Group Art Unit	3626
		Examiner Name	Vanel Frenel
		Attorney Docket Number	S-0818
First Supplemental (use as many sheets as necessary)			
Sheet	1	of	1

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	L-13	BYRNES, NANETTE, "Sink Hole! How Public Pension Promises are Draining State and City Budgets", Special Report, BusinessWeek, June 13, 2005, ppg 68-72 and 74-76.	
	L-14	YOUNG, JEFFREY E., "Examining Business Method Patents - Is the 'Technological Arts' Requirement at Odds with State Street and Diehr?", IPL Newsletter, vol. 23, no. 3, Spring 2005, ppg 21-24.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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